



# SCHEDULE C-1

## CLOSELY-HELD CORPORATE STOCK INFORMATION REPORT

ESTATE OF \_\_\_\_\_

FILE NUMBER \_\_\_\_\_

1. Name of Corporation \_\_\_\_\_ State of Incorporation \_\_\_\_\_  
 Address \_\_\_\_\_ Date of Incorporation \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_ Total Number of Shareholders \_\_\_\_\_
2. Federal Employer ID Number \_\_\_\_\_ Business Reporting Year \_\_\_\_\_
3. Type of Business \_\_\_\_\_ Product/Service \_\_\_\_\_

STOCK	TYPE Voting/Non-Voting	TOTAL NUMBER OF SHARES OUTSTANDING	PAR VALUE	NUMBER OF SHARES OWNED BY THE DECEDENT	VALUE OF THE DECEDENT'S STOCK
Common					\$
Preferred					\$

Provide all rights and restrictions pertaining to each class of stock.

5. Was the decedent employed by the corporation? .....  Yes  No  
 If yes, Position \_\_\_\_\_ Annual Salary \$ \_\_\_\_\_ Time Devoted to Business \_\_\_\_\_
6. Was the corporation indebted to the decedent? .....  Yes  No  
 If yes, provide amount of indebtedness \$ \_\_\_\_\_
7. Was there life insurance payable to the corporation upon the death of the decedent? .....  Yes  No  
 If yes, Cash Surrender Value \$ \_\_\_\_\_ Net proceeds payable \$ \_\_\_\_\_  
 Owner of the policy \_\_\_\_\_
8. Did the decedent sell or transfer stock in this company within one year prior to death or within two years if the date of death was prior to 12-31-82?  
 Yes  No      If yes,  Transfer  Sale      Number of Shares \_\_\_\_\_  
 Transferee or Purchaser \_\_\_\_\_ Consideration \$ \_\_\_\_\_ Date \_\_\_\_\_  
 Attach a separate sheet for additional transfers and/or sales.
9. Was there a written shareholder's agreement in effect at the time of the decedent's death? ....  Yes  No  
 If yes, provide a copy of the agreement.
10. Was the decedent's stock sold? .....  Yes  No  
 If yes, provide a copy of the agreement of sale, etc.
11. Was the corporation dissolved or liquidated after the decedent's death? .....  Yes  No  
 If yes, provide a breakdown of distributions received by the estate, including dates and amounts received.
12. Did the corporation have an interest in other corporations or partnerships? .....  Yes  No  
 If yes, report the necessary information on a separate sheet, including a Schedule C-1 or C-2 for each interest.

**THE FOLLOWING INFORMATION MUST BE SUBMITTED WITH THIS SCHEDULE**

- A. Detailed calculations used in the valuation of the decedent's stock.
- B. Complete copies of financial statements or federal corporate income tax returns (Form 1120) for the year of death and four preceding years.
- C. If the corporation owned real estate, submit a list showing the complete address/es and estimated fair market value/s. If real estate appraisals have been secured, attach copies.
- D. List of principal stockholders at the date of death, number of shares held and their relationships to the decedent.
- E. List of officers, their salaries, bonuses and any other benefits received from the corporation.
- F. Statement of dividends paid each year. List those declared and unpaid.
- G. Any other information relating to the valuation of the decedent's stock.